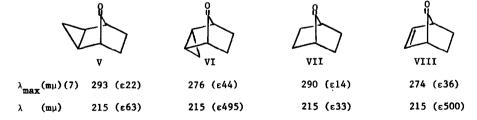
SPECTRAL PROPERTIES OF <u>exo-</u> AND <u>endo-TRICYCLO[3.2.1.0^{2,4}]OCTAN-8-ONES</u> Richard E. Pincock^{la} and John Haywood-Farmer^{1b}

Department of Chemistry, University of British Columbia, Vancouver 8, Canada (Received in USA 31 July 1967)

The interaction of formally nonconjugated p-orbital systems has been observed in ultraviolet spectra (e.g., changes in n + π^* and π + π^* transition energies and intensities for C=C-C-C=0 structures (2)) as well as in increased rates of carbonium ion reactions (e.g., solvolysis of homoallylic structures C=C-C-C-X (3)). The most outstanding example of the latter effect is in solvolysis of anti-7-norbornenyl (I) and 7-norbornyl (II) derivatives where orbital overlap at the transition state results in a relative rate factor, $k_{\rm I}$ / $k_{\rm II}$, of 10^{11} (4). As the interaction of especially oriented p-like cyclopropane orbitals (5) can

also give rise to extremely great relative reactivities ($k_{III} / k_{IV} = \underline{ca}$. 10^{14})(6), it is of interest to determine if the spectra of the corresponding ketones also give evidence of cyclopropyl-carbonyl electronic interactions.

Interestingly, the ultraviolet spectra of the exo and endo cyclopropyl ketones, V and VI, are almost identical, respectively, to the spectra of ketones VII and VIII. The observed

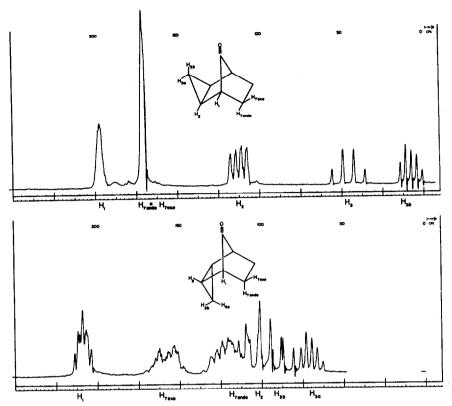


hypsochromic shift for the n + m transition of olefinic compound VIII relative to the

saturated norbornone (VII), although opposite to that found in general for nonplanar β,γ -unsaturated ketones (3,8), has been shown to be consistent with a LCAO-MO treatment involving $p_{\pi}-p_{\pi}$ and $p_{\sigma}-p_{\sigma}$ interactions between atoms C2-C7 and C3-C7 in the specific geometry of the norbornene structure (9). The close spectral resemblance of the <u>endo</u> cyclopropyl and norbornenyl ketones (VI and VIII) suggests a similar interaction in which relatively less p-orbital character at atoms 2 and 4 in VI is compensated by being more favorably directed towards the C8 carbonyl orbital (10). The cyclopropyl orbitals of the <u>exo</u> ketone V, are, of course, not directed towards the carbonyl group at all and its spectrum is like that of the saturated 7-norbornone VII (12).

The spectral resemblances of V and VII and of VI and VIII add a new set of analogous properties to the recently reported (6) kinetic properties of the corresponding derivatives IV and II and of III and I. The origin of the analogous properties is apparently the same; in compounds I and III an accelerative electron donation by a double bond or a endo cyclopropyl ring to the incipient carbonium ion during solvolysis, and in compounds VIII and VI an interaction by the double bond or endo cyclopropyl ring p-orbitals in modifying the relative energy of electronic states (13). However, the existence of such analogous properties gives no distinct information concerning the symmetry of the electron donation in the transition states or intermediates for solvolysis of I and III (e.g., in compound III from one of atoms C2 or C4 or equally from both (6,15)).

The n. m. r. spectra of the two cyclopropyl ketones (V and VI) also show interesting dissimilarities. In DCCl₃, the 100 Mcps spectrum of $\underline{\text{exo}}$ compound V is remarkably simple (see Figure). The anisotropic magnetic effects of the cyclopropyl group apparently shift the normally higher field (16) $\underline{\text{endo}}$ norbornyl protons ($H_{7\underline{\text{endo}}}$) downfield to where they have the same chemical shift as the $\underline{\text{exo}}$ protons ($H_{7\underline{\text{exo}}}$). This fortutitous effect, combined with the unfavorable dihedral angle for H_1-H_2 spin coupling (16), results in a relatively narrow, unsplit absorption for the bridgehead (H_1) protons. The paramagnetic effect of the 8-keto group (17) shifts both the H_{3a} and H_{3b} protons to high field, but the closer H_{3b} proton is shifted to a greater extent. They are both coupled to the two H_2 protons and each presents a pair of overlapped triplets, $J_{3a-2}=6.7$, $J_{3b-2}=3.4$ and $J_{3a-3b}=6.7$ cps (18). In contrast, the $\underline{\text{endo}}$ ketone in DCCl₃ shows a very complex spectrum even at 100 Mcps (see Figure). Here a difference in the chemical shifts of $\underline{\text{exo}}$ and $\underline{\text{endo}}$ C7 protons appears (due in part to positioning of the $\underline{\text{endo}}$ protons in the shielded volume above the plane of the cyclopropyl ring (19)), and there is a



decreased difference in the H_{3a} and H_{3b} protons (now both away from the field of the carbonyl group). These relative changes in orientation of anisotropic groups result in a more "compressed" spectrum for <u>endo</u> ketone. In addition, a smaller dihedral angle between H_1 and H_2 , and the lack of the accidental equivalence of chemical shifts which gives rise to the exceptionally simple spectrum of <u>exo</u> ketone, results in the many spin-spin splittings apparent with the <u>endo</u> ketone.

In benzene, when intervention by the anisotropic solvent molecules no longer allows the same chemical shift for $H_{7 \underline{\text{exo}}}$ and $H_{7 \underline{\text{endo}}}$, the n. m. r. spectrum of the $\underline{\text{exo}}$ ketone developes most of the complexities of its $\underline{\text{endo}}$ isomer. The $H_{7 \underline{\text{exo}}}$ and $H_{7 \underline{\text{endo}}}$ protons now appear as a complex multiplet at 1.5 to 1.25 ppm (downfield from TMS) while the difference in positions of the geminal protons 3a and 3b is practically eliminated and they make their entangled appearance at $\underline{\text{ca}}$. 0.35 to 0.1 ppm.

REFERENCES

(1)(a) Alfred P. Sloan Foundation Fellow; (b)recipient of a National Research Council Fellowship. The research was also supported by grants from the Petroleum Research Fund of the American Chemical Society and from the National Research Council of Canada. 4762 No.47

(2) Cf. H. H. Jaffe and M. Orchin, "Theory and Applications of Ultraviolet Spectroscopy", John wiley and Sons, Inc. New York, 1962, p.440.

- (3) Cf. L. N. Ferguson and J. C. Nnadi, J. Chem. Educ., 42, 529 (1965).
- (4) S. Winstein, M. Shatavsky, C. Norton and R. B. Woodward, J. Am. Chem. Soc., 77, 4183 (1955).
- (5) Cf. W. A. Bernett, J. Chem. Educ., 44, 17 (1967).
- (6) H. Tanida, T. Tsuji and T. Irie, J. Am. Chem. Soc., 89, 1953 (1967); M. A. Battiste, C. L. Deyrup, R. E. Pincock and J. Haywood-Farmer, ibid., 89, 1954 (1967).
- (7) Spectra taken in 95% ethanol. The values given for VIII are taken from the reported spectrum (ref.9) and from a private communication from Dr. B. Franzus. The spectra of VII and VIII agree with those reported by R. K. Bly and R. S. Bly, J. Org. Chem., 28, 3165 (1963) and P.G. Gassman and P. G. Pape, ibid., 29, 160 (1964).
- (8) H. Labhart and G. Wagniere, Helv. Chim. Acta, 42, 2219 (1959).
- (9) E. I. Snyder and B. Franzus, J. Am. Chem. Soc., 86, 1166 (1964).
- (10) The requirement for maximum delocalization in α,β-cyclopropanoketones is that the plane of the π bond of the carbonyl function and the plane of the cyclopropyl group have a "parallel" relationship (11). In the β,γ-cyclopropanoketone VI these planes have an "orthogonal" relationship. However, at the greater distance between p-orbitals in VI, sigma-type interaction is more important than pi-type interaction and the orthogonal arrangement points both of the C2-C4 cyclopropyl orbitals towards the C8 carbonyl orbital.
- (11) W. G. Dauben and G. H. Berezin, J. Am. Chem. Soc., 89, 3449 (1967).
- (12) S. Winstein and J. Sonnenberg, <u>ibid.</u>, <u>83</u>, 3235 (1961), report no spectral influence of the cyclopropyl group on the carbonyl group in bicyclo[3.1.0]hexan-3-one. Effects of nonconjugated cyclopropyl groups on carbonyl U.V. spectra have been predicted by R. Hoffmann, <u>Tetrahedron Letters</u>, 3819 (1965).
- (13) Some ground state interaction of the carbonyl and cyclopropyl groups in compounds V and VI is shown by a relative change in their infrared carbonyl stretching frequencies. The strongest peak in exo ketone V is at 15 cm⁻¹ less wavenumbers than with the endo ketone VI (14). However, typically for bridged carbonyl groups, each compound has several carbonyl peaks or shoulders (vmax in CCl₄: V 1859w, 1813w, 1775s, 1743m, 1724w; VI 1858w, 1818m, 1760s, 1725w) and the origin of the relative change can not be distinctly ascribed to resonance effects (possible in endo ketone), to stereoelectronic effects (possible in exo ketone)or to coupled vibrations (possible in either ketone).
- (14) Resonance in directly conjugated cyclopropyl ketones results in <u>ca</u>. 20 cm⁻¹ lower carbonyl frequency, see R. H. Eastman and S. K. Freeman, <u>J. Am. Chem. Soc.</u>, <u>77</u>, 6642 (1955).
- (15) <u>Cf.</u> H. C. Brown and H. M. Bell, <u>ibid</u>., <u>85</u>, 2324 (1963); S. Winstein, A. H. Lewin and K. C. Pande, <u>ibid</u>., <u>85</u>, 2324 (1963).
- (16) <u>Cf. P. Laszlo and P. von R. Schleyer, ibid., 86</u>, 1171 (1964).
- (17) L. M. Jackman, "Applications of N. M. R. Spectroscopy in Organic Chemistry", Pergamon Press, Ltd., London, 1959, p. 122.
- (18) Spin decoupling experiments show that in addition to coupling to the H_3 protons, the H_2 protons in <u>exo</u> ketone are weakly coupled to the two H_1 bridgehead protons, $\frac{1}{2}(J_{1-2} + J_{4-2}) = \frac{1}{2}$ ca 0.8 cps, resulting in broadening for H_1 and triplets for each of the four lines of H_2 .
- (19) Cf. J. Haywood-Farmer, R.E.Pincock and J.I.Wells, Tetrahedron, 22, 2007 (1966).